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## Combining dissimilarity measures for prototype-based classification

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**Abstract.** Prototype-based classification, identifying representatives of the data and suitable measures of dissimilarity, has been used successfully for tasks where interpretability of the classification is key. In many practical problems, one object is represented by a collection of different subsets of features, that might require different dissimilarity measures. In this paper we present a technique for combining different dissimilarity measures into a Learning Vector Quantization classification scheme for heterogeneous, mixed data. To illustrate the method we apply it to diagnosing viral crop disease in cassava plants from histograms (HSV) and shape features (SIFT) extracted from cassava leaf images. Our results demonstrate the feasibility of the method and increased performance compared to previous approaches.

### 1 Introduction

Learning Vector Quantization (LVQ) is a family of prototype based, adaptive classification schemes, which has attracted considerable interest in a variety of scientific fields. Arguably the most striking advantage of LVQ methods over other classification schemes is their interpretability. Psychologically, class-representative prototypes are also a typical form of cognitive organisation of real world objects [1].

A key ingredient of an LVQ system is the dissimilarity between two object representations. Most frequently, a single measure is used to quantify dissimilarity in the corresponding vector space. However, in many practical contexts, an observation consists of several, separate sets of features which can be very different in nature. As just one example, patient data in medicine may comprise image data, lab measurements, and gene expression data. The design of appropriate, data driven analysis tools suitable for heterogeneous, *mixed* data sets constitutes one of the main current challenges.

In this work, we suggest the use of adaptive combined distance measures to handle heterogeneous data in an LVQ framework. An early attempt at tackling this problem is presented in [2].

## 2 Combining dissimilarity measures – mb-GLVQ

Our approach is inspired by the Generalized Matrix Learning Vector Quantization (GMLVQ) approach [3] introduced by Schneider et al. We consider concatenated feature vectors  $\mathbf{V}$  which consist of  $K$  sub-vectors,  $\mathbf{V} = [\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_K]$ , where the  $\mathbf{v}_k$  are vectors in partial sub-spaces.

Let us denote the examples as  $\mathbf{V}^\nu$  where  $\nu$  is the example index. Similarly for the prototypes,  $\mathbf{W} = [\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_K]$  the  $\mathbf{w}_k$  represent the partial sub-spaces of the  $\mathbf{v}_k$ . Prototypes are indexed with the superscript  $n$ , data labels are denoted as  $\sigma^\nu \in \{1, 2, \dots, C\}$  and prototype labels as  $S^n \in \{1, 2, \dots, C\}$ .

We propose to use individual dissimilarity measures for the partial sub-spaces of features  $d_k(\mathbf{V}^\nu)$  integrated into a quadratic form analogous to the GMLVQ approach. A similar approach has previously been employed by [4]. The combined distance measure  $\mathbf{D}_\Lambda$  is formalized as

$$\mathbf{D}_\Lambda(\mathbf{V}, \mathbf{W}) = \begin{pmatrix} d_1(\mathbf{v}_1, \mathbf{w}_1) \\ \vdots \\ d_K(\mathbf{v}_K, \mathbf{w}_K) \end{pmatrix}^\top \Lambda \begin{pmatrix} d_1(\mathbf{v}_1, \mathbf{w}_1) \\ \vdots \\ d_K(\mathbf{v}_K, \mathbf{w}_K) \end{pmatrix} = \vec{d}(\mathbf{V}, \mathbf{W})^\top \Lambda \vec{d}(\mathbf{V}, \mathbf{W}).$$

The matrix  $\Lambda$  takes into account the interplay of the different dissimilarity measures. In the special case with zero off-diagonal elements, the above reduces to a linear combination of the squared individual dissimilarities. In general,  $\mathbf{D}_\Lambda$  will be a pseudo-metric. For our approach, it need not satisfy the triangle inequality, only non-negativity of the measure is needed. This can be ensured when substituting the parameter matrix  $\Lambda$  by  $\Lambda = \Omega^\top \Omega$ .

Adapting the prototypes  $\mathbf{W}$  and the dissimilarity parameters  $\Omega$  follows a batch gradient descent over a cost function structurally similar to the one introduced by Sato and Yamada for the Generalized-LVQ [5]:

$$E_{\text{mb-GLVQ}} = \sum_{\nu=1}^N L \left( \frac{\mathbf{D}_\Lambda^+(\mathbf{V}^\nu) - \mathbf{D}_\Lambda^-(\mathbf{V}^\nu)}{\mathbf{D}_\Lambda^+(\mathbf{V}^\nu) + \mathbf{D}_\Lambda^-(\mathbf{V}^\nu)} \right)$$

with  $\mathbf{D}_\Lambda^+(\mathbf{V}^\nu)$  representing the overall dissimilarity  $\mathbf{D}_\Lambda(\mathbf{V}^\nu, \mathbf{W}^+)$  to the nearest prototype of the correct class  $\mathbf{W}^+$  and  $\mathbf{D}_\Lambda^-(\mathbf{V}^\nu)$  representing the overall distance to the nearest prototype of a different class  $\mathbf{W}^-$ . For simplicity in this presentation, we restrict  $L(\cdot)$  to its simplest form, i.e.  $L(x) = x$ .

According to the previous considerations the update rule for the prototype position in the sub-space of index  $k^*$  is given with respect to example feature vector  $\mathbf{V}^\nu$  as

$$\Delta \mathbf{w}_{k^*}^+ = -4 \cdot \epsilon_w \cdot \frac{D_\Lambda^-(\mathbf{V}^\nu)}{(D_\Lambda^+(\mathbf{V}^\nu) + D_\Lambda^-(\mathbf{V}^\nu))^2} \cdot \sum_{k=1}^K \lambda_{k^*,k} d_k^+(\mathbf{V}^\nu) \cdot \frac{\partial d_{k^*}^+(\mathbf{V}^\nu)}{\partial \mathbf{w}_{k^*}^+}$$

with  $\lambda_{k^*,k}$  the  $(k^*, k)^{\text{th}}$  entry of  $\Lambda = \Omega^\top \Omega$  and  $d_k^+(\mathbf{V}^\nu)$  the individual dissimilarity in the  $k^{\text{th}}$  sub-space to the sub-space prototype  $\mathbf{w}_k^+$  of the nearest correct

prototype  $\mathbf{W}^+$ . For the nearest prototype of a different class, we yield

$$\Delta \mathbf{w}_{k^*}^- = 4 \cdot \epsilon_w \cdot \frac{D_{\Lambda}^+(\mathbf{V}^\nu)}{(D_{\Lambda}^+(\mathbf{V}^\nu) + D_{\Lambda}^-(\mathbf{V}^\nu))^2} \cdot \sum_{k=1}^K \lambda_{k^*k} d_k^-(\mathbf{V}^\nu) \cdot \frac{\partial d_{k^*}^-(\mathbf{V}^\nu)}{\partial \mathbf{w}_{k^*}^-}.$$

Obviously, this approach is only suitable for differentiable dissimilarity measures. To also handle discrete dissimilarity measures or dissimilarity matrixes, a kernelized approach is presented in [4] following the ideas of Relational Neural Gas (RNG [6]) and Kernel Learning Vector Quantization (KLVQ [7]).

For global updates of the dissimilarity matrix  $\Omega$  with respect to example feature vector  $\mathbf{V}^\nu$  we get the following update rule

$$\Delta \Omega_{lm} = -2 \cdot \epsilon_{\Omega} \left( c_+ d_m^+(\mathbf{V}^\nu) \cdot \left[ \Omega \begin{pmatrix} d_1^+(\mathbf{V}^\nu) \\ \vdots \\ d_K^+(\mathbf{V}^\nu) \end{pmatrix} \right]_l - c_- d_m^-(\mathbf{V}^\nu) \cdot \left[ \Omega \begin{pmatrix} d_1^-(\mathbf{V}^\nu) \\ \vdots \\ d_K^-(\mathbf{V}^\nu) \end{pmatrix} \right]_l \right)$$

with

$$c_+ = \frac{D_{\Lambda}^-(\mathbf{V}^\nu)}{(D_{\Lambda}^+(\mathbf{V}^\nu) + D_{\Lambda}^-(\mathbf{V}^\nu))^2} \text{ and } c_- = \frac{D_{\Lambda}^+(\mathbf{V}^\nu)}{(D_{\Lambda}^+(\mathbf{V}^\nu) + D_{\Lambda}^-(\mathbf{V}^\nu))^2}.$$

The matrix is normalized after each step to satisfy  $\text{trace}(\Omega) = 1$ .

In the training phase, prototypes and matrix  $\Omega$  are optimized simultaneously, based on the available example data. Step size of adaptation is influenced by learning rates  $\epsilon_w$  and  $\epsilon_{\Omega}$  in the update rules. For optimal adaptation the prototypes require a stationary dissimilarity measure (see [8] for the theoretic foundations), thus it has been suggested to perform the dissimilarity adaptation using  $\epsilon_{\Omega} \ll \epsilon_w$ .

In the initialization of the prototypes and the dissimilarity measure, appropriate domain knowledge can be included.

### 3 Problem definition and experimental set-up

#### 3.1 Diagnosing viral crop disease

In our experiments we consider the task of diagnosing Cassava Mosaic Disease (CMD) [9]. CMD manifests in crops as decolorization and deformation of the leaves of the plant as shown in Figure 1. Our goal is to diagnose the disease using leaf images as described in previous work on visual cassava disease diagnosis [10]. We extract four sets of features: three sets of color information as normalized histograms (50 bins) of the observed values of Hue, Saturation, and Intensity (HSV) and one set corresponding to local image gradient information, using Scale Invariant Feature Transform (SIFT) descriptors [11] to represent shape features.

Two datasets are considered which were acquired under different conditions: For the *lab-cassava* dataset images were taken in a lab environment with controlled lighting and uniform background. The *field-cassava* dataset, represents

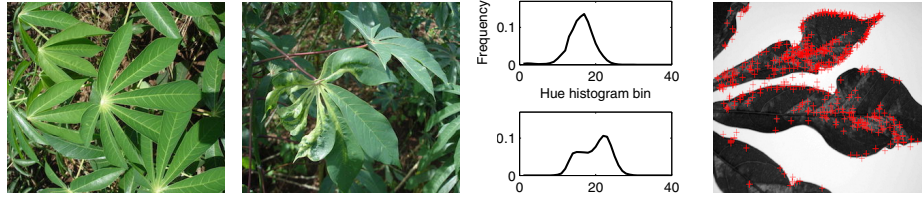


Fig. 1: Examples of healthy leaves (left), diseased leaves *in-situ* (middle left), histograms of diseased and healthy leaves (middle right) and examples of SIFT features extracted from a diseased crop image (right).

| Data sub-space         | Dissimilarity measure     | Parameter setting |
|------------------------|---------------------------|-------------------|
| H, S and V histograms  | $\gamma$ -divergence      | $\gamma = 1.5$    |
| SIFT data (normalized) | $\gamma$ -divergence (CS) | $\gamma = 1.0$    |

Table 1: Different dissimilarity measures for different data components

images taken in the field with typical background noise including other plants, bare ground, and shadows.

### 3.2 Experimental set-up

The histograms of Hue, Saturation and Intensity (HSV) and the SIFT features form heterogeneous features of the images. Different dissimilarity measures for the different feature sets are selected based on Table 1. The choice of divergences for the HSV histograms' dissimilarities in the LVQ system is based on previous work [10]. There we explored the advantages of using divergences as dissimilarity measures of distributions or other positive measures as compared to using standard Euclidean measures. The family of  $\gamma$ -divergences is particularly attractive because tuning the parameter  $\gamma \rightarrow 0$  results in the popular Kullback-Leibler divergence and for  $\gamma = 1$ , we obtain the Cauchy-Schwarz (CS) divergence. We combined the dissimilarity measures into one global measure as outlined in Section 2.

For the HSV histograms, we select  $\gamma = 1.5$ , based on empirical observations for a set of  $\gamma$ s (0.5, 1.0, 1.5). The choice of  $\gamma = 1.0$  for the SIFT dataset reflects the unique property of the Cauchy-Schwarz divergence, which can be used for non-normalized positive data as well. For these experiments, the matrix  $\Lambda$  was initialized as the identity. The matrix resulting from the training process can be interpreted as a measure for the weight of the dissimilarities in the data sub-spaces for classification.

We performed 4-fold cross-validation repeated for 2 runs each through 100 epochs of the data. We consider a single prototype per class LVQ system. Using more prototypes would have been computationally more expensive but would also have enabled detecting multiple modes in the different classes. However it was not expected that the data would contain multi-modal classes. Training the system follows a batch-gradient descent optimization with a method to control

the step size, presented in [12]. In each step of the procedure prototypes and matrix  $\Omega$  are updated simultaneously with subsequent normalization.

## 4 Results

Results in Table 2 are presented as Area Under Curve (AUC) for the *test set* Receiver Operating Characteristics (ROC) curves [13]. We compare with first results from previous experiments without combination of the distance measures [10]. The next rows show performance of our method mb-GLVQ with combination of the HSV histograms (second row), and HSV and SIFT subsets (third row).

| Feature sets and method        | Lab-Cassava | Field-Cassava |
|--------------------------------|-------------|---------------|
| <b>HSV without combination</b> | 0,86700     | -             |
| <b>HSV in mb-GLVQ</b>          | 0,92404     | 0,97640       |
| <b>HSV+Sift in mb-GLVQ</b>     | 0,96284     | 0,97738       |

Table 2: AUC results for different constellations of the data components

We additionally analysed the matrix  $\Lambda$  at the end of training to identify the role of different data sub-spaces for classification. For the lab-cassava HSV dataset, Hue(H) and Intensity(V) histograms play a key role in classification. This result is consistent with previous experiments [10]. When SIFT features are added, we notice a shift in reliance of the classifier to the SIFT features. This probably accounts for the 4 % increase in the AUC performance (92 % to 96 %) shown in Table 2. For the field-cassava HSV dataset, we observe a greater reliance of classification on the Intensity(V) histogram. Adding SIFT features, improves the performance only slightly, probably because obtaining shape and interest point features with a noisy background is not effective. In both cases, the representations of the off-diagonal elements give a similar intuition to the plots of the diagonal of the matrix.

## 5 Conclusion

The novelty of our method is the integration of different dissimilarity measures using a matrix in an approach similar to [3] in a global GLVQ update scheme. Results from our experiments with cassava leaf data indicate the feasibility of our method. Our method provides superior performance compared to previous work using similar methods on the same datasets. The experiments indicate improvement in the performance when additional features are added to the example representation.

In future we intend to extend the method to two different application scenarios: (i) when different subsets of features represent the same physical entity and (ii) when different dissimilarity measures are applied to the same data. We will also investigate how local distance parameterizations inform the interpretability of the results of the classifier.

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